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DELIVERED BY HAND ON SEPTEMBER 5, 1997



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:
Dean FALB

Application No.: 08/799,910

Group Art Unit: 1804

Filed: February 13, 1997

Examiner: Not yet assigned

For: COMPOSITIONS AND METHODS
FOR THE TREATMENT AND
DIAGNOSIS OF
CARDIOVASCULAR DISEASE

Attorney Docket No.: 7853-067

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION AND NOTICE TO COMPLY WITH
SEQUENCE RULES 37 C.F.R. §§ 1.821-1.825**

Attention: Box Missing Part
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts,
dated April 8, 1997, and accompanying Notice to Comply With
Sequence Rules 37 C.F.R. §§ 1.821-1.825, Applicant submits
herewith:

- (a) an Executed Declaration and Power of Attorney
in compliance with 37.C.F.R. § 1.63;
- (b) a paper copy of "Sequence Listing" pursuant to
37 C.F.R. § 1.823, consisting of twenty-three
(23) pages;
- (c) a computer readable form (CRF) copy of the
"Sequence Listing" pursuant to 37 C.F.R.
§ 1.824 in a 3.5 inch floppy disk;

- (d) a Preliminary Amendment Under 37 C.F.R. § 1.115 which directs entry of the Sequence Listing and sequence identifiers into the specification;
- (e) A Fee Transmittal Sheet accompanying the Preliminary Amendment;
- (f) a Statement under 37 C.F.R. § 1.821(f) and (g);
- (g) a Petition to Extend Time for three (3) months from June 8, 1997 to and including September 8, 1997 with appropriate fee;
- (h) a copy of the Notice to File Missing Parts of Application; and
- (i) a copy of the Notice to Comply With Sequence Rules 37 C.F.R. §§ 1.821-1.825.

Please charge the required fee, believed to be \$130.00, to Pennie and Edmonds LLP Deposit Account No. 16-1150. A copy of this document is enclosed.

Respectfully submitted,

Date September 5, 1997


Jonathan L. Klein 41,119
(Reg. No.)

PENNIE & EDMONDS LLP
1667 K Street, N.W.
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(202) 496-4400

For: Laura A. Coruzzi
Reg. No. 30,742

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Enclosure



DELIVERED BY HAND ON SEPTEMBER 5, 1997

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of: Dean FALB

Application No.: 08/799,910

Group Art Unit: 1804

Filed: March 28, 1997

Examiner: Not yet assigned

For: COMPOSITIONS AND METHODS
FOR THE TREATMENT AND
DIAGNOSIS OF
CARDIOVASCULAR DISEASE

Attorney Docket No.: 7853-067

FEE TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The fee required to be filed with the accompanying amendment of even date herewith concerning the above-identified application has been estimated to be \$484.

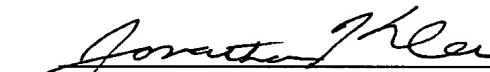
The claim amendment fee has been estimated as shown below:

(Col. 1)				(Col. 2)				(Col. 3)		SMALL ENTITY		OTHER THAN A SMALL ENTITY		
CLAIMS REMAINING AFTER AMENDMENT				HIGHEST NO PREVIOUSLY PAID FOR				PRESENT EXTRA		RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE
TOTAL	*	107	MINUS	**	85	=	22	X 11	\$				X 22	\$ 484.00
INDEP.	*	8	MINUS	***	17	=	0	X 40	\$				X 80	\$ -0-
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM									+ 130				+ 260	\$
									TOTAL	\$		OR	TOTAL	\$ 484.00
									ADDIT. FEE					

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date September 5, 1997


Jonathan L. Klein

41,119
(Reg. No.)

For: Laura A. Coruzzi
Reg. No. 30,742

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
Phone: (212) 790-9090
Local: (202) 496-4400

Enclosure

Application No.: 08/799910

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE



0300
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$_____ for a ☐ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ large entity ☐ small entity (verified statement filed), is \$_____.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$_____, including any multiple dependent claim fees, are required.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying the application by the above Application Number and Filing Date, is required.

☐ 6. A \$_____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center

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